

8-15-01

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FORM PTO-1082

Express Mail EL 904 935 224 US

PATENT

Atty. Docket No. 46914-2003

Date: August 13, 2001

## UTILITY PATENT APPLICATION TRANSMITTAL and FEE TRANSMITTAL

Box PATENT APPLICATION  
 COMMISSIONER FOR PATENTS  
 Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of:

Inventor(s): Hung-Kun CHEN and Kwang Cheng CHEN

For: CHANNEL QUALITY ASSESSMENT METHOD AND SYSTEM FOR PERFORMING THE SAME

Enclosed are:

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)
- ☒ Applicant Claims Small Entity Status
- ☒ Specification, Claims, and Abstract (18 pages)
- 2 Sheet(s) of drawing (☒ formal, ☐ informal)
- Form PTO-1595 and an assignment of the invention to: \_\_\_\_\_
- A certified copy of \_\_\_\_\_ Application No. \_\_\_\_\_ filed on \_\_\_\_\_ from which priority is claimed in the subject case pursuant to Rule 55b and 35 U.S.C. 119, \_\_\_\_\_ will follow.
- An associate Power of Attorney.
- Combined Declaration and Power of Attorney.
- Information Disclosure Statement, Form PTO 1449, and \_\_\_\_\_ cited references
- Request and Certification under 122(b)
- ☒ Postcard

If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 C.F.R. 1.76:

- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: \_\_\_\_\_

Examiner: \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

- ☒ Check in the amount of \$472.00 to cover the filing fee is enclosed.
- ☐ Check in the amount of \$\_\_\_\_\_ to cover the Assignment Recordation Fee.

- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 02-0410 of Baker & McKenzie.

A duplicate copy of this sheet is enclosed.

- ☒ Any additional filing fees required under 37 CFR 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,



Mitchell P. Brook

Registration No. 32,967

c/o BAKER &amp; MCKENZIE

101 West Broadway, 12th Floor

San Diego, California 92101

(619) 236-1441

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 472.00

## Complete if Known

|                      |                      |
|----------------------|----------------------|
| Application Number   | To be assigned       |
| Filing Date          | Filed Herewith       |
| First Named Inventor | Hung-Kun CHEN et al. |
| Examiner Name        | To be assigned       |
| Group Art Unit       | To be assigned       |
| Attorney Docket No.  | 46914-2003           |

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **02-0410**  
 Deposit Account Name **Baker & McKenzie**

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description        | Fee Paid |
|----------------------------|----------------------------|------------------------|----------|
| 101 710                    | 201 355                    | Utility filing fee     | 355.00   |
| 106 320                    | 206 160                    | Design filing fee      |          |
| 107 490                    | 207 245                    | Plant filing fee       |          |
| 108 710                    | 208 355                    | Reissue filing fee     |          |
| 114 150                    | 214 75                     | Provisional filing fee |          |

SUBTOTAL (1) (\$ 355.00

## 2. EXTRA CLAIM FEES

Total Claims **33** -20\*\* = **13** X **9** = **117.**  
 Independent Claims **3** - 3\*\* = **0** X **40** = **0.00**  
 Multiple Dependent  =

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  |
|----------------------------|----------------------------|--|
| 103 18                     | 203 9                      | Claims in excess of 20                                     |
| 102 80                     | 202 40                     | Independent claims in excess of 3                          |
| 104 270                    | 204 135                    | Multiple dependent claim, if not paid                      |
| 109 80                     | 209 40                     | ** Reissue independent claims over original patent         |
| 110 18                     | 210 9                      | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ 117.00

\*\*or number previously paid, if greater. For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  | Fee Paid |
|----------------------------|----------------------------|--|----------|
| 105 130                    | 205 65                     | Surcharge - late filing fee or oath  |          |
| 127 50                     | 227 25                     | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139 130                    | 139 130                    | Non-English specification  |          |
| 147 2,520                  | 147 2,520                  | For filing a request for ex parte reexamination                            |          |
| 112 920*                   | 112 920*                   | Requesting publication of SIR prior to Examiner action                     |          |
| 113 1,840*                 | 113 1,840*                 | Requesting publication of SIR after Examiner action                        |          |
| 115 110                    | 215 55                     | Extension for reply within first month                                     |          |
| 116 390                    | 216 195                    | Extension for reply within second month                                    |          |
| 117 890                    | 217 445                    | Extension for reply within third month                                     |          |
| 118 1,390                  | 218 695                    | Extension for reply within fourth month                                    |          |
| 128 1,890                  | 228 945                    | Extension for reply within fifth month                                     |          |
| 119 310                    | 219 155                    | Notice of Appeal   |          |
| 120 310                    | 220 155                    | Filing a brief in support of an appeal                                     |          |
| 121 270                    | 221 135                    | Request for oral hearing   |          |
| 138 1,510                  | 138 1,510                  | Petition to institute a public use proceeding                              |          |
| 140 110                    | 240 55                     | Petition to revive - unavoidable   |          |
| 141 1,240                  | 241 620                    | Petition to revive - unintentional   |          |
| 142 1,240                  | 242 620                    | Utility issue fee (or reissue)   |          |
| 143 440                    | 243 220                    | Design issue fee   |          |
| 144 600                    | 244 300                    | Plant issue fee  |          |
| 122 130                    | 122 130                    | Petitions to the Commissioner  |          |
| 123 50                     | 123 50                     | Petitions related to provisional applications                              |          |
| 126 240                    | 126 240                    | Submission of Information Disclosure Stmt                                  |          |
| 581 40                     | 581 40                     | Recording each patent assignment per property (times number of properties) |          |
| 146 710                    | 246 355                    | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149 710                    | 249 355                    | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179 710                    | 279 355                    | Request for Continued Examination (RCE)                                    |          |
| 169 900                    | 169 900                    | Request for expedited examination of a design application                  |          |


Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0.00

## SUBMITTED BY

## Complete (if applicable)

|                   |   |                                  |                |           |                       |
|-------------------|---|----------------------------------|----------------|-----------|-----------------------|
| Name (Print/Type) | <b>Mitchell P. Brook</b>  | Registration No (Attorney/Agent) | <b>32,967</b>  | Telephone | <b>(619) 236-1441</b> |
| Signature         |  | Date                             | <b>8/14/01</b> |           |                       |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: ) Art Unit: To be assigned  
Hung-Kun CHEN et al. )  
Serial No.: To be assigned ) Examiner: To be assigned  
Filed: Filed herewith )  
For: **CHANNEL QUALITY ASSESSMENT** )  
**METHOD AND SYSTEM FOR** )  
**PERFORMING THE SAME** )

San Diego, California 92101  
August 14, 2001

BOX PATENT APPLICATION  
Commissioner For Patents  
Washington, D.C. 20231

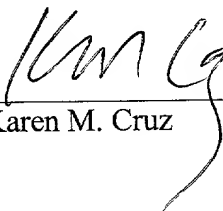
**CERTIFICATE OF EXPRESS MAILING**

Dear Sir:

"Express Mail" mailing label No. EL 904 935 224 US

Date of Deposit: August 14, 2001

I hereby certify that a Utility Patent Application Transmittal, Fee Transmittal, check in the amount of \$472.00 (filing fee), Patent Application including the Specification, Abstract, and Claims (18 pages), Formal Drawings (FIGS. 1-2 on 2 pages) and return postcard are being deposited with the U.S. Postal Service "Express Mail Post Office to the Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to Box Patent Application, Commissioner for Patents, Washington, D.C. 20231.

  
\_\_\_\_\_  
Karen M. Cruz